

COPY OF PAPERS
ORIGINALLY FILED

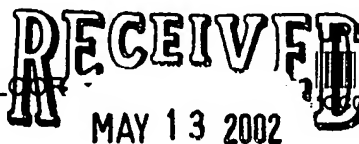
LXI 100
Page 1 of 2

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/046,963	01/11/2001- 2002	2661	1050	42390P13413	3	30	3

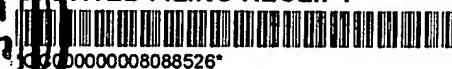
08791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR
LOS ANGELES, CA 90025



CONFIRMATION NO. 5428

FILED FILING RECEIPT



00000008088526*

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN
LOS ANGELES

Date Mailed: 05/10/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kjeld Svendsen, Santa Clara, CA;
John Alan Miller, Portland, OR;

RECEIVED

MAY 20 2002

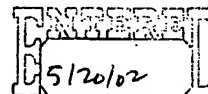
Domestic Priority data as claimed by applicant

U.S. PAT. DATABASE DEPT.

Foreign Applications



If Required, Foreign Filing License Granted 02/13/2002



Projected Publication Date: 08/22/2002

Non-Publication Request: No

Early Publication Request: No

Title

Method and system to preprogram and predict the next microcode address

a

Preliminary Class

370

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

COPY OF PAPERS
ORIGINALLY FILED



**POST OFFICE
TO ADDRESSEE**

**EXPRESS
MAIL**
UNITED STATES POSTAL SERVICE™



EL546137953US

EL546137953US

**SEE REVERSE SIDE FOR
SERVICE GUARANTEE AND LIMITS
ON INSURANCE COVERAGE**

ORIGIN (POSTAL USE ONLY)		
PC ZIP Code 97035	Day of Delivery <input type="checkbox"/> Next <input checked="" type="checkbox"/> Second	Flat Rate Envelope <input type="checkbox"/>
Date Is 1/1/02	<input type="checkbox"/> 12 Noon <input type="checkbox"/> 3 PM	Postage \$
Time Is 1657	Military <input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day	Return Receipt Fee
Weight 5.70 lbs.	Int'l Alpha Country Code	COD Fee
No Delivery <input type="checkbox"/> Weekend <input type="checkbox"/> Holiday	Acceptance Clerk Initials	Total Postage

CUSTOMER USE ONLY	SIGNATURE (Domestic Only)
METHOD OF PAYMENT: Express Mail Corporate Acct. No. X901254-042390	Additional merchandise insurance is available for purchase without obtaining signature of addressee. Signature required for delivery in secure location and for delivery of insured items. Signature required for delivery of insured items.
Federal Agency Acct. No. or Postal Service Acct. No.	NO DELIVERY <input type="checkbox"/> HOLIDAY <input type="checkbox"/>

FROM: (PLEASE PRINT) PHONE ()
BLAKELY, SCKOLOFF
TAYLOR & ZAFMAN LLP
5285 MEADOWS RD STE 101
LAKE OSWEGO OR 97035-5250
GDC/LYT/odl P13413

TO: (PLEASE PRINT) PHONE ()
BGX PATENT APPLICATION
ASSISTANT COMMISSIONER
FOR PATENTS
WASHINGTON DC 20231-9999

PRESS HARD.
You are making 3 copies.

FOR PICKUP OR TRACKING CALL 1-800-222-1811

www.usps.gov



Customer Copy
Label 11-F July 1997

COPY OF PAPERS
ORIGINALLY FILED[Home](#)

[Shipping center](#)
[Ship](#)
[Rates](#)
[Supplies](#)
[Resource Center](#)
[Web Tools](#)
▶ [Track & Confirm](#)
[Delivery Confirmation](#)
[Signature Confirmation](#)

▶ Shipping center

Track & Confirm

Shipment History

You entered EL54 6137 953U S

Your item was delivered at 7:48 am on January 16, 2002 in WASHINGTON, DC 20231 to PATENTS. The item was signed for by M BOSTON.

Here is what happened earlier:

- NOTICE LEFT, January 15, 2002, 6:03 pm, WASHINGTON, DC 20231
- ARRIVAL AT UNIT, January 15, 2002, 5:53 pm, WASHINGTON, DC 20066
- ENROUTE, January 11, 2002, 8:03 pm, PORTLAND, OR 97238
- ACCEPTANCE, January 11, 2002, 4:57 pm, LAKE OSWEGO, OR 97035

[Request Delivery Record >](#)

Keyword/Search

Track & Confirm

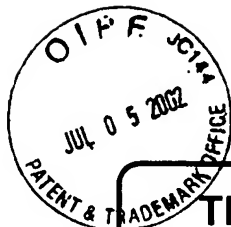
Enter number from shipping receipt:



POSTAL INSPECTORS
Preserving the Trust

[site map](#) | [contact us](#) | [FAQs](#) | [search](#) | [keywords](#)

Copyright © 1999-2002 USPS. All Rights Reserved. [Terms of Use](#) [Privacy Policy](#)



2100
COPY OF PAPERS
ORIGINALLY FILED

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

2FC1
Receipt

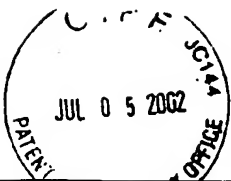
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application No.	10/046,963	
	Filing Date	January 11, 2002	
	First Named Inventor	Kjeld Svendsen	
	Group Art Unit	2661	
	Examiner Name	Not Assigned	
Total Number of Pages in This Submission		Attorney Docket Number	42390P13413

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 5px;"><ul style="list-style-type: none">- Request for Correction- Copy of Filing Receipt- Copy of Express Mail Label- Copy of Express Mail Shipping History- Return Receipt Postcard</div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Lisa Tom, Reg. No. P-52,291 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>Lisa Tom</i>
Date	June 28, 2002

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Office of Initial Patent Examination's Customer Service Center, Assistant Commissioner for Patents, Washington, D.C. 20231 on: June 28, 2002			
Typed or printed name	Deborah L. Higham		
Signature	<i>Deborah L. Higham</i>	Date	June 28, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



COPIES OF PAPERS
ORIGINALLY FILED

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (11-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete if Known

Application Number 10/046,963
Filing Date January 11, 2002
First Named Inventor Kjeld Svendsen
Examiner Name Not Assigned
Group/Art Unit 2661
Attorney Docket No. 42390P13413

METHOD OF PAYMENT (check one)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☒ None
☐ Deposit Account

Deposit
Account
Number

02-2666

Deposit
Account
Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
30	30*	0	\$0.00
3	3*	0	\$0.00
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple Dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

*or number previously paid, if greater. For Reissues, see below

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type) Lisa Tom Registration No. P-52,291 Telephone (503) 684-6200
Signature Lisa Tom Date 06/28/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.
SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**COPY OF PAPERS
ORIGINALLY FILED**

Attorney Docket No. 42P13413



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application for:

Svendsen, et al.

Application No.: 10/046,963

Filed: January 11, 2002

For: Method and System to Preprogram
and Predict a Next Microcode Address

Examiner: Not Assigned

Art Group: 2661

Conf. No.: 5428

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Box Issue Fee, **Office of Initial Patent Examination's Customer Service Center**, Assistant Commissioner for Patents, Washington, DC 20231 on:

Date of Deposit: June 28, 2002

Name of Person Mailing: Deborah L. Higham

Signature: SCHEIDT Date: 6/28/02

**Office of Initial Patent Examination's
Customer Service Center**
Assistant Commissioner for Patents
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sir:

Enclosed is a copy of the official Filing Receipt for the above-referenced patent application with errors noted thereon in red. Please find enclosed a copy of the Express Mail Label and Express Mail Shipping History indicating the correct filing date.

The following title and filing date are listed incorrectly:

Filing Date Listed as: January 11, 2001

Filing Date Should be: January 11, 2002

Title Listed as: Method and System to Preprogram and Predict the
Next Microcode Address

Title Should be: Method and System to Preprogram and Predict a
Next Microcode Address

PLEASE GENERATE ANOTHER FILING RECEIPT INCORPORATING THE REQUESTED CORRECTIONS.

THANK YOU.

If any additional fee is required, please charge Deposit Account No. 02-2666. A Fee Transmittal is enclosed in duplicate for deposit account charging purposes.

Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN, LLP

Date: 6/28/02

Lisa Tom
Lisa Tom
Registration No. P-52,291

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, CA 90025
(503) 684-6200